

06/21/01

11044 U.S. PTO

Please type a plus sign (+) inside this box → ☒Approved for use through 10/31/2002. OMB 0651-0032
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 33728

First Inventor Alex Roustaei

Title Method and Apparatus for Controlling
Power Consumption in an Active...

Express Mail Label No.

EL609747729US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 15]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. ☒ Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet See 37 CFR 1.76

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations (3)
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other. check for \$565.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____ / _____

Prior application information

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

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(Insert Customer No. or Attach Bar code label here)

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

John P. Murtaugh

Registration No. (Attorney/Agent)

34226

Signature

John P. Murtaugh

Date

June 21, 2001

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Washington, DC 20231

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 565.00

Complete if Known

Application Number
Filing Date
First Named Inventor Alex Roustaei
Examiner Name
Group Art Unit
Attorney Docket No. 33728

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit
Account
Number

16-0820

Deposit
Account
Name

Pearne & Gordon LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

101 710 201 355 Utility filing fee
106 320 206 160 Design filing fee
107 490 207 245 Plant filing fee
108 710 208 355 Reissue filing fee
114 150 214 75 Provisional filing fee

Fee Paid

355

SUBTOTAL (1) (\$ 355

2. EXTRA CLAIM FEES

Total Claims 30 - 20** = 10 X 9 = 90
Independent Claims 6 - 3** = 3 X 40 = 120
Multiple Dependent n/a 135 = 0

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

103 18 203 9 Claims in excess of 20
102 80 202 40 Independent claims in excess of 3
104 270 204 135 Multiple dependent claim, if not paid
109 80 209 40 ** Reissue independent claims
over original patent
110 18 210 9 ** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2) (\$ 210

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Small
Entity Entity
Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

105 130 205 65 Surcharge - late filing fee or oath
127 50 227 25 Surcharge - late provisional filing fee or
cover sheet
139 130 139 130 Non-English specification
147 2,520 147 2,520 For filing a request for ex parte reexamination
112 920* 112 920* Requesting publication of SIR prior to
Examiner action
113 1,840* 113 1,840* Requesting publication of SIR after
Examiner action
115 110 215 55 Extension for reply within first month
116 390 216 195 Extension for reply within second month
117 890 217 445 Extension for reply within third month
118 1,390 218 695 Extension for reply within fourth month
128 1,890 228 945 Extension for reply within fifth month
119 310 219 155 Notice of Appeal
120 310 220 155 Filing a brief in support of an appeal
121 270 221 135 Request for oral hearing
138 1,510 138 1,510 Petition to institute a public use proceeding
140 110 240 55 Petition to revive - unavoidable
141 1,240 241 620 Petition to revive - unintentional
142 1,240 242 620 Utility issue fee (or reissue)
143 440 243 220 Design issue fee
144 600 244 300 Plant issue fee
122 130 122 130 Petitions to the Commissioner
123 50 123 50 Processing fee under 37 CFR 1.17(q)
126 180 126 180 Submission of Information Disclosure Stmt
581 40 581 40 Recording each patent assignment per
property (times number of properties)
146 710 246 355 Filing a submission after final rejection
(37 CFR § 1.129(a))
149 710 249 355 For each additional invention to be
examined (37 CFR § 1.129(b))
179 710 279 355 Request for Continued Examination (RCE)
169 900 169 900 Request for expedited examination
of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

SUBMITTED BY

Name (Print/Type) John P. Murtaugh Registration No. 34226 Telephone 216-579-1700
Signature Date June 21, 2001

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be included on this form. Provide credit card information and authorization on PTO-2038.

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